

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph J. Shiang

Serial No.: 10/725,724

Filed: November 21, 2003

For ORGANIC ELECTROLUMINESCENT DEVICE  
WITH A CERAMIC OUTPUT COUPLER AND  
METHOD OF MAKING THE SAME

Group Art Unit: 2879

Examiner: A. Canning

## INFORMATION DISCLOSURE STATEMENT

 1 Pursuant to 37CFR 1.97(b)

[within 3 months of national, non-CPA filing or prior to 1st Office Action] no charge

 2 Certification Pursuant to 37 CFR 1.97(c)(1)

[before Final Office Action, Allowance, or other action closing prosecution] no charge

 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)

[before Final Office Action, Allowance, or other action closing prosecution] \$180.00

 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)

[On or before issue fee payment] \$180.00

ATTN: Mail Stop AMENDMENT  
 COMMISSIONER FOR PATENTS  
 PO Box 1450  
 ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Type or Print Name

\_\_\_\_\_  
Signature

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
  - 8 The required Certification made in item 11 below;
  - 9 <sup>or</sup> The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- 10 37 CFR 1.97(d): [on or before issue fee payment]; and
  - a) The required Certification is stated in item 11 below; and
  - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- 11 Certification
- 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

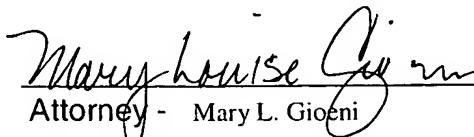
- 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

3/4/07  
\_\_\_\_\_  
Date

General Electric Company  
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59  
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\_\_\_\_\_  
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FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. RD-28230-3	SERIAL NO. 10/725,724
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <u>LIST OF ITEMS</u>  (Use several sheets if necessary)		Applicant Joseph J. Shiang et al.			
		Filing Date 11/21/03	Group 2879		



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA							
AB							
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
	AL	1 1 3 0 7 2 6 6	11/05/99	Japan				
	AM							
	AN							
	AO							
	AP							

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED